

PATENT
STL920000099US1
0055.0044

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	Examiner: Hoang Vu A Nguyen
A.T. Yaung)	Ba
Serial No.: 09/894,076)	
Filed: June 28, 2001)	
For: METHOD, SYSTEM, AND)	Art Unit: 2192
PROGRAM FOR EXECUTING A)	
WORKFLOW)	
)	
)	

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Dear Sirs:

In accordance with 37 CFR §§ 1.56, 1.97, and 1.98, enclosed please find Form PTO-1449 listing the attached references which might be deemed material to the examination of the above-identified application.

A. Non-English Language References

- ☐ Enclosed is a search report for a counterpart application. The search report Examiner has provided comments on the relevancy of any non-English language references cited in the search report.
- ☐ The specification incorporates comments on the relevancy of Non-English language references.
- ☐ Set forth below are comments provided by the applicant's home country counsel on the relevancy of non-English language references:

- B. ☒ The information disclosure statement submitted herewith is being filed
- 1) within three months of the filing date of a national application other than a continued prosecution application under 1.53(d);
 - 2) within three months of the date of entry into the national stage as set forth in 1.491 in an international application;
 - 3) before the mailing date of a first Office Action on the merits; or
 - 4) before the mailing date of a first Office Action after filing of a Request for Continued Examination under 1.114.
- C. ☐ The information disclosure statement transmitted herewith is being filed *after* the period specified in paragraph B, but before the mailing date of a final action under 1.113, a Notice of Allowance under 1.311, or an action otherwise closing prosecution, and is accompanied by:
- 1) a Statement under 1.97(e); or
 - 2) a fee of \$180 as set forth in 1.17(p).
- D. ☐ The information disclosure statement transmitted herewith is being filed *after* the period specified in paragraph C, but before payment of the issue fee, and is accompanied by:
- 1) a Statement under 1.97(e); and
 - 2) a fee of \$180 as set forth in 1.17(p).

STATEMENT UNDER 1.97(e)

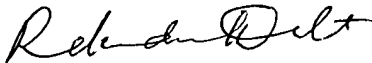
I, the person signing below, certify

- ☐ that each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).
- ☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign

application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).

If required under paragraph C or D *supra*, enclosed is Applicant's check in the amount of \$180 as set forth in 1.17(p). Please charge any insufficiency or credit any overpayment to Deposit Account No. 09-0460. A duplicate of this letter is enclosed for fee processing.

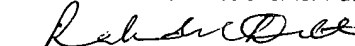
Respectfully submitted,



Rabindranath Dutta
Registration No. 51,010
KONRAD RAYNES & VICTOR, LLP
315 S. Beverly Drive, Suite 210
Beverly Hills, CA 90212
(310) 556-7983
(310) 556-7984 (fax)

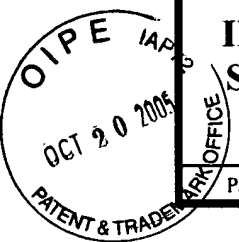
Dated: October 18, 2005

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450 on October 18, 2005.



Rabindranath Dutta

10/18/05
(Date)



Form 1449/PTO				Complete if Known		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Application Number	09/894,076	
				Filing Date	June 28, 2001	
				First Named Inventor	A.T. Yaung	
				Art Unit	2192	
				Examiner Name	Hoang Vu A Nguyen Ba	
Attorney Docket Number	STL920000099US1					
Page	1	of	3			

U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number- Kind Code (if known)			
		US-5,596,750	01-21-1997	Li et al.	
		US-5,644,768	07-01-1997	Periwal et al.	
		US-5,745,687	04-28-1998	Randell	
		US-5,790,789	08-04-1998	Suarez	
		US-5,826,239	10-20-1998	Du et al.	
		US-5,892,905	04-06-1999	Brandt et al.	
		US-5,937,388	08-10-1999	Davis et al.	
		US-5,951,649	09-14-1999	Dobbins et al.	
		US-5,960,420	09-28-1999	Leymann et al.	
		US-5,991,733	11-23-1999	Aleia et al.	
		US-6,006,193	12-21-1999	Gibson et al.	
		US-6,061,667	05-09-2000	Danford-Klein et al.	
		US-6,073,109	06-06-2000	Flores et al.	
		US-6,151,583	11-21-2000	Ohmura et al.	
		US-6,170,002	01-02-2001	Ouchi	
		US-6,308,163	10-23-2001	Du et al.	
		US-6,338,074	01-08-2002	Poindexter et al.	
		US-6,349,238	02-19-2002	Gabbita et al.	
		US-6,430,538	08-06-2002	Bacon et al.	
		US-6,487,607	11-26-2002	Wollrath et al.	
		US-6,578,006	06-10-2003	Saito et al.	
		US-6,665,674	12-16-2003	Buchanan et al.	
		US-6,681,243	01-20-2004	Putzolu et al.	
		US-6,691,299	02-10-2004	Hart et al.	
		US-6,721,713	04-13-2004	Guheen et al.	
		US-6,769,124	04-27-2004	Schoening et al.	
		US-6,853,974	02-08-2005	Akifuji et al.	
		US-6,920,456	07-19-2005	Lee et al.	
		US-2002/0032783	03-14-2002	Tuatini	
		US-2002/0038357	03-28-2002	Haverstock et al.	
		US-2002/0040312	04-04-2002	Dhar et al.	

Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				<i>Complete if Known</i>	
				Application Number	09/894,076
				Filing Date	June 28, 2001
				First Named Inventor	A.T. Yaung
				Art Unit	2192
				Examiner Name	Hoang Vu A Nguyen Ba
Page	2	of	3	Attorney Docket Number	STL920000099US1

		US-2002/0059411	05-16-2002	Barnhouse et al.	
		US-2002/0103811	08-01-2002	Fankhauser et al.	
		US-2002/0120685	08-29-2002	Srivastava et al.	
		US-2002/0143949	10-03-2002	Rajarajan et al.	
		US-2003/0023472	01-30-2003	Lee et al.	
		US-2003/0023662	01-30-2003	Yaung	
		US-2003/0023728	01-30-2003	Yaung	
		US-2003/0023773	01-30-2003	Lee et al.	
		US-2003/0033415	02-13-2003	Graylin et al.	
		US-2003/0131075	07-10-2003	Bear et al.	
		US-2004/0015821	01-22-2004	Lu et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No.	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Country Code- Number- Kind Code				
		JP- 10-081425	03-31-1998	Blake et al.		Ab
		JP- 10-326314	12-08-1998	Saito et al.		Ab
		JP- 11-003298	01-06-1999	Ogawa et a.		Ab
		JP- 11-175644	07-02-1999	Minoya et al.		Ab
		JP 11-249983	09-17-1999	Chen et al.		Ab

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T
		CHOUDHURY, G.S., C. REQUARDT, I. FUJINAGA, T. DILAURO, E.W. BROWN, J.W. WARNER, and B. HARRINGTON, "Digital Workflow Management: The Lester S. Levy Digitized Collection of Sheet Music", [online], © 2000 <i>First Monday</i> , [Retrieved on March 17, 2005], retrieved from the Internet at <URL: http://firstmonday.org/issues/issue5_6/choudhury/index.html >.	
		HUDGINS, J. and L.A. MACKLIN, "New Materials, New Processes: Implementing Digital Imaging Projects into Existing Workflow", <i>Library Collections, Acquisitions, & Technical Services</i> , 2000, No. 24, pp. 189-204.	
		IBM CORPORATION, "Method for Testing Program Activity Implementations of Workflow Management Systems", <i>IBM Research Disclosure</i> , No. 408186, April 1998, pp. 503-505.	
		IBM CORPORATION, "Non-Destructive Annotation of Documents in an Image Processing Environment", <i>IBM Research Disclosure</i> , No. 430191, February 2000, pp. 404-408.	

Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				<i>Complete if Known</i>	
				Application Number	09/894,076
				Filing Date	June 28, 2001
				First Named Inventor	A.T. Yaung
				Art Unit	2192
				Examiner Name	Hoang Vu A Nguyen Ba
Page	3	of	3	Attorney Docket Number	STL920000099US1

		IBM CORPORATION, "Runtime Transaction Management in a Transaction Service", <i>IBM Research Disclosure</i> , No. 416150, December 1998, pp. 1720-1721.	
		SPITZER, T., "Forms, Workflow, and the Web", [online], [Retrieved on March 17, 2005], retrieved from the Internet at <URL: http://www.webtechniques.com/archives/1999/10/busi/ >.	

Examiner Signature		Date Considered	
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.			